

PATENT APPLICATION SERIAL NO. ~~10/529397~~

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
FEE RECORD SHEET

BEST AVAILABLE COPY

04/04/2005 LLANDGRA 00000037 10529397

01 FC:1631	300.00 OP
02 FC:1642	400.00 OP
03 FC:1633	200.00 OP

09/08/2005 SAHMED1 00000001 024035 10529397

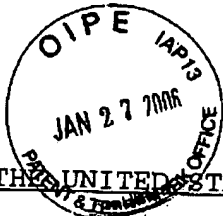
01 FC:1615	4450.00 DA
02 FC:1616	360.00 DA
03 FC:1681	250.00 DA

03/07/2006 SAHMED1 00000002 024035 10529397

01 FC:1615	4100.00 DA
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PTO-1556  
(5/87)

Adjustment Fee: 03/07/2006 SAHMED1 00000002 024035 10529397  
09/08/2005 SAHMED1 00000001 024035 10529397  
01 FC:1615 4450.00 CR  
02 FC:1616 360.00 CR



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Dep & Ref

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	Art Unit:	2006 JAN 27 11:25
CHRISTENSEN, et al.	)	Examiner:	US PATENT & TRADEMARK
Serial No.: 10/529,397	)	Washington, D.C.	OFFICE
Filed: March 28, 2005	)	January 27, 2006	
For: SPATIALLY ENCODED POLYMER	)	Docket No.: CHRISTENSEN=12	
MATRIX	)	Confirmation No.: 6716	

REQUEST FOR REFUND

U.S. Patent and Trademark Office  
Customer Service Window  
Randolph Building  
401 Dulany Street  
Alexandria, VA 22314

S i r :

In the letter transmitting this application, filed on March 28, 2005, we paid the basic national fee, the search fee and the examination fee by credit card charge.

We also stated on page 2, "If a deficiency exists in the fees set by 37 CFR 1.492(a)(b) or (c), please charge it to Deposit account 02-4035. At this time, no authorization is given to charge any other fees".

Nonetheless, in September, 2005 the PTO charged application size (1.492(g)) and additional claims (1.492(d-f)) fees against our deposit account, as follows:

<u>Date</u>	<u>Seq</u>	<u>Posting Ref Text</u>	<u>Fee Code</u>	<u>Amount</u>
09/08	1	10529397	1615	4,450.00
09/08	2	10529397	1616	360.00
09/08	3	10529397	1681	250.00
				<u>\$5,060.00</u>

These charges were unauthorized, and applicants are entitled to a refund.

On even date herewith, Applicants filed a preliminary amendment which eliminated multiple dependency. Since the deposit account charges were improper, this amendment qualifies as one filed prior to the payment of the additional claims fees.

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USSN - 10/529,397

Hence, the proper additional claims fees are those reflecting this amendment, as follows:

82 excess total claims x\$50= 4,100

While Applicants are entitled to a refund of the entire \$5,060 in unauthorized deposit account charges, they request that the refund be applied as follows:

\$250 to the application size fee

\$4,100 to the additional claims fee calculated above, based on the preliminary amendment filed on even date herewith.

\$710 reccredited to their Deposit Account 02-4035.

Respectfully submitted,

BROWDY AND NEIMARK, P.L.L.C.  
Attorneys for Applicant

By: 

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IPC:lms

G:\ipc\g-i\hoib\CHRISTENSEN12\pto reqrefund.wpd

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)		International Application No.		Attorney's Docket No.	
10/529397		PCT/DK2003/000635		CHRISTENSEN12	

21. The following fees are submitted:

☒ a) BASIC NATIONAL FEE (37 CFR 1.492(a)).....\$300.00

☒ b) SEARCH FEE (37 CFR 1.492(b))

☐ US was International Searching Authority.....\$100.00

☒ Other ISR provided to USPTO.....\$400.00

☐ All other situations.....\$500.00

☒ c) EXAMINATION FEE (37 CFR 1.492 (c))

☐ IPEA/US gave wholly favorable IPER.....\$100.00

☒ All other situations.....\$200.00

TOTAL OF ABOVE CALCULATIONS :

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(h)).

TOTAL SHEETS	EXTRA SHEETS	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE (1.492(g))
124-100	750		X \$250.00

CLAIMS	Number Filed	Number Extra	Rate (1.492 (d-f))
Total Claims	- 20 =		X \$ 50.00
Independent Claims	- 3 =		X \$200.00
Multiple Dependent Claims (if applicable)			+ \$360.00

TOTAL OF ABOVE CALCULATIONS = \$900.00

Reduction of 1/2 for filing by small entity, if applicable. Applicant claims small entity status. See 37 CFR 1.27.

SUBTOTAL = \$900.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(i)).

TOTAL NATIONAL FEE = \$900.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

TOTAL FEES ENCLOSED = \$900.00

	Amount to be:	\$
	refunded	
	charged	\$

**CALCULATIONS PTO USE ONLY**

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**Payment Method (check one only)**

a. ☐ A check in the amount of \$\_\_\_\_\_ to cover the above fees is enclosed.

b. ☒ Credit Card Payment Form (PTO-2038), authorizing payment in the amount of \$900.00, is attached.

c. ☐ Please charge my Deposit Account No. 02-4035 in the amount of \$\_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.

**Handling of Fee Deficiencies (check one only)**

☐ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-4035. A duplicate copy of this sheet is enclosed.

☒ If a deficiency exists in the fees set by 37 CFR 1.492(a) (b) or (c), please charge it to Deposit Account 02-4035. At this time, no authorization is given to charge any other fees.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

Direct all correspondence to the address associated with  
CUSTOMER NUMBER 001444, which is currently:

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**Date of this submission: March 28, 2005**

SIGNATURE  
Iver P. Cooper  
NAME  
28,005  
REGISTRATION NUMBER  
IPC:ccw